

Report 14.631
Date 27 November 2014
File E/12/04/04

Committee Council
Authors Leigh-Anne Buxton, General Manager, People and Capability
Wayne Hastie, General Manager, Public Transport
Mike Timmer, Treasurer/ Acting Chief Financial Officer

Report on the Risk and Assurance Committee meeting 27 November 2014

1. Purpose

To inform the Council of the Risk and Assurance Committee (the Committee) meeting of 27 November 2014.

2. Reports

2.1 Risk Report

The Committee discussed the risk report, which presents the top ten risks in each risk category and advises of changes made to the register since it was last reported on to the Committee. It was noted that risks relating to forestry, certain Public Transport functions and the 2013 local government election have been removed from the register since it was last reported on at the 7 May 2014 Committee meeting.

The Committee received a presentation on risk management in the Public Transport Group. There is a high potential for risk within the Public Transport Group due to the nature of the work undertaken, and the presentation provided an overview of these risks and the frameworks in place for managing them.

The Committee discussed the Public Transport entries on the risk register, in particular the difference between residual and inherent risk levels. The inherent risk classification reflects an original assessment of the risk before any controls are in place (or when revisiting an existing risk if controls have failed), whereas the residual classification is applied after the controls have been put in place and are working as expected.

The presentation finished with an overview of risk management in the day to day activities of the Group. This is achieved through planning processes, delegations and approvals, and robust reporting.

2.2 Performance Reports for the quarter ended 30 September 2014

The Committee received performance reports for the quarter ended 30 September 2014 for the Finance and Support (including ICT), Investment Management, People and Capability, and Strategy and Community Engagement Groups.

2.2.1 Finance and Support (including ICT)

The Committee received an update on the Shared ICT Infrastructure Programme (SIIP) with Wellington City Council, Porirua City Council, Upper Hutt City Council and Wellington Water Limited. A detailed evaluation of the written responses to the Request for Proposal has been completed and vendor presentations took place during October. A business plan is currently being developed and will go to a meeting of the Chief Executives involved in December.

2.2.2 People and Capability

The Committee noted the smooth transition of the Water Supply Group to Capacity Infrastructure Services (now known as Wellington Water Limited) in September.

2.2.3 Strategy and Community Engagement

The Committee discussed continuing improvements to the Council's external website, including monthly updates in the new online Our Region section. The Councillors' Communications Working Party also generated feedback around the use of video footage on the home page and more frequent social media sharing buttons, both of which have been implemented. The Communications team continues to work with ICT on a number of other initiatives to improve the website.

2.3 Legal Compliance

The Committee discussed the legal compliance review that was undertaken in early 2014. This review assessed the extent to which Greater Wellington Regional Council and the WRC Holdings Group comply with major legislation. A compliance checklist was completed by each group and reviewed and approved by each General Manager. There were no significant issues arising from the review.

Some questions were raised about the role of the Regional Transport Committee in relation to the Council's transport roles. Officers were asked to prepare a brief paper for Councillors explaining the statutory obligations and liabilities of the Regional Transport Committee and the Council.

The Committee commended officers for the legal compliance checklist process.

2.4 Audit Management Report for the year ended 30 June 2014

The Committee discussed the Audit Management Report for the year ended 30 June 2014. The 2014 audit went well, with a few improvements discussed for 2014/15 including around Greater Wellington Regional Council's high annual leave balances.

The Committee expressed satisfaction with the results of the 2014 audit.

3. Communication

No external communication is required.

4. The decision-making process and significance

No decision is being sought in this report.

5. Recommendations

That the Council:

1. ***Receives the report.***
2. ***Notes the content of the report.***

Report prepared by:

Leigh-Anne Buxton
General Manager, People and
Capability

Report prepared by:

Wayne Hastie
General Manager, Public
Transport

Report prepared by:

Mike Timmer
Treasurer/ Acting Chief
Financial Officer

Report approved by:

Judith Aitken
Chair