

Attachment 1 to Report 15.8

CATCHMENT MANAGEMENT QUARTELY RISK REPORT 31 DECEMBER 2014									
Overall ranking by residual score	Risk ID	Risk Category(s)	Description	Inherent Risk Classification before Controls	Controls	Residual Risk Classification after Controls	Risk Owner	Status Change and Improvements during the quarter	
14	17	Loss, failure or damage to assets	Failure of flood protection structures and measures due to flooding	High Risk	Asset management plans and Flood Plain Management Plans implemented for major rivers. Reserves and investments held for 5% of asset value	Medium Risk	Graeme Campbell	Asset condition rating inspections have been completed. No new remedial work was identified. Previously identified below standard assets have been incorporated into the 2014/15 annual maintenance programmes.	
8	97	Loss, failure or damage to assets	Failure of flood protection structures and measures due to earthquakes	High Risk	Contingency reserves to assist with damage repair and limited insurance cover	Medium Risk	Graeme Campbell	Maximum probable loss estimates to be updated and externally reviewed.	
43	109	Loss, failure or damage to assets	Disease damage to tree plantings along river banks	Medium Risk	Regular monitoring by staff Asset management plans and Flood Plain Management Plans	Low Risk	Graeme Campbell	No significant change. Inspections, removal and replanting programmes are ongoing.	
23	19	Health and Safety to staff, contractors, volunteers and physical harm to the general public	Danger to staff, contractors and public from ground pest control operations	Medium Risk	Department hazard registers Health and safety plans National guidelines and code of practice for poison application Department Safe Operating Procedures	Medium Risk	Davor Bejakovich	Status unchanged.	
2	57	Health and Safety to staff, contractors, volunteers and physical harm to the general public	Death and severe harm to staff, contractors and public while undertaking work programmes	High Risk	Insurance is in place Department hazard registers are maintained Health and safety plans and working alone procedures Department Safe Operating Procedures for high risk activities	Medium Risk	Wayne O'Donnell	Residual classification was reduced to Medium. H&S residual was reduced to Major (previously extreme). Ongoing reviews and improvements to safety procedures include: contractor management, working alone, trailer towing, chainsaw use, emergency planning, induction processes and staff competency signoff.	
1	72	Health and Safety to staff, contractors, volunteers and physical harm to the general public	Harm to staff, contractors and public when carrying out aerial pest control operations	High Risk	Department hazard registers Health and safety plans National guidelines and code of practice for poison application Department Safe Operating Procedures	High Risk	Davor Bejakovich	Residual likelihood was reduced from Highly Unlikely to Rare. The overall residual classification level remains as High Risk due to the extremely harmful H&S consequence rating.	
10	103	Health and Safety to staff, contractors, and volunteers	Fatality or permanent disability to CM staff arising from use of a quad bike in a manner that doesn't comply with organisational Health and Safety	High Risk	Health and safety plans Department hazard registers Working alone procedures & equipment Departmental Plans - Maintenance Schedules Standard Operating Procedures	Medium Risk	David Cameron	Status unchanged. Implementation of the quad safety improvements from the 2013/14 safety review are continuing.	